



# Declaration of a Director's Business Activities

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Please complete a separate Declaration for each Director.  
Photocopies of this form are acceptable.

# AF4 Declaration of a Director's Business Activities (please copy as required)

Surname & Initials  Company

Exec or Non-Exec

Before completing please read notes at the end of this form

**1** State:

<b>a</b> any former surname(s)	_____	<b>b</b> date of birth	_____
<b>c</b> present forename(s) and any former forename(s)	_____ _____	<b>d</b> residential address	_____ _____
<b>e</b> nationality and former nationality, if any	_____ _____	<b>f</b> professional qualifications, if any	_____ _____

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**2** Are you a director or shadow director of any other company or a partner in any partnership? YES / NO

If yes, state the name of any such company or partnership, the nature of business where this is not indicated in the title, and date you became a director or partner. If you are a director of any company which has securities listed on the London Stock Exchange ("the Exchange") it is not necessary to state the name of any subsidiary of that company of which you are also a director.

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**3** Have you at any time been, or are there any proceedings threatened or in progress under which you may be, adjudged bankrupt or sequestrated either in the United Kingdom or elsewhere? YES / NO

If so, give full particulars including the court which has jurisdiction over the relevant proceedings and, if any bankruptcy has been discharged, the date and conditions on which you were granted your discharge.

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**4** Have you at any time been, or are you likely in the near future to become, a party to a deed of arrangement or any form of voluntary arrangement (as defined in Part VIII of the Insolvency Act 1986)? YES / NO

If so, give full particulars.

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**5** Are there any unsatisfied judgements outstanding against you or judicial proceedings threatened or currently in progress which may lead to a judgement being granted against you? YES / NO

If so, give full particulars.

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**6** Has any company been put into compulsory liquidation or had an administrator or an administrative or other receiver appointed during the period when you were (or within the preceding twelve months had been) one of its directors or shadow directors? Has any partnership been put into compulsory liquidation or been sequestrated during the period when you were (or within the preceding twelve months had been) one of its partners? Are there any threatened or pending proceedings in progress of the nature set out above in relation to any company or partnership for which either (a) you are currently a director, shadow director or partner or (b) you were a director, shadow director or partner where the threatened or pending proceedings relate to our time in office? YES / NO  
If so, please give full particulars. YES / NO

**7** Have you at any time, or has a company of which you were a director or shadow director at the time of the offence, been convicted in the United Kingdom or elsewhere of any offence (other than motoring offences for which imprisonment cannot be imposed). YES / NO

Are there any threatened or pending proceedings against you or a company of which you are a director or a shadow director which may lead to such a conviction? YES / NO

For the avoidance of doubt, this includes offences involving fraud or dishonesty or an offence under legislation (whether or not of the United Kingdom) relating to companies (including insider dealing), building societies, provident societies, credit unions, friendly societies, insurance, banking or other financial services, securities, insolvency industrial and consumer credit or consumer protection.

If so, state the court by which you were or the company was convicted, the date of conviction and full particulars of the offence and the penalty imposed and full particulars of any threatened or pending proceedings. Details must be disclosed of all convictions of the kind described above even though they may be "spent convictions" within the meaning of that expression contained in the Rehabilitation of Offenders Act 1974).

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**8** Have you, in the United Kingdom or elsewhere, been concerned with the management or conduct of affairs of any company or partnership which has been (or is threatened with or in the process of being):  
(a) investigated by an inspector appointed under companies legislation, or other securities enactments or by any other regulatory body; or YES / NO

(b) required to produce books and papers to the Secretary of State; YES / NO

in relation to any matter arising at a time during which you were concerned in that company or partnership? If the investigation is or was confidential, the question may be answered simply "yes". In such a case Sharemark may seek additional information directly and in confidence from you.

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**9** In connection with the formation or management of any company, partnership or unincorporated institution, are there any threatened, existing or past proceedings against you under which you have been or may be adjudged by a court in the United Kingdom or elsewhere civilly liable for any fraud, misfeasance or other misconduct by you towards it or towards any of its members? YES / NO

If so, give full particulars.

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**10** Have you ever been, or are there any proceedings in progress or threatened under which you may be, disqualified by a court from acting as a director of a company, or from acting in the management or conduct of the affairs of any company? YES / NO

If so, give full particulars.

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**11** Have you, in the United Kingdom or elsewhere, been refused admission to or renewal of membership of any professional body, trade society, institution or association, or investment exchange or been censured or disciplined or had membership withdrawn by any such body to which you belong or belonged or have you held a practising certificate subject to conditions? Are there any proceedings in progress or threatened which may lead to such a refusal/censorship/withdrawal of membership? YES / NO

If so, give full particulars.

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**12** Have you ever been, or are there any proceedings in progress or threatened under which you are likely to be, publicly or privately criticised, censured or sanctioned by the Exchange or the Panel on Takeovers and Mergers or any other statutory or regulatory authority? YES / NO

If so, give full particulars.

**13** Do you or your spouse (or, as far as you are aware, any other person whose interest would fall to be treated as your interest pursuant to the Companies Act 2006 or with whom you could be said to be acting in concert (a "Connected Person")) have any legal or beneficial interest in the share capital of the Company.

YES / NO

If so, give full particulars.

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**14** Do you or your spouse or any Connected Person have any legal or beneficial interest in any company (whether incorporated in the UK or otherwise) which could be reasonably considered to be in competition with the Company (other than companies where you/your spouse/the Connected Person collectively hold less than 5 per cent. of the share capital in that company) or have you/your spouse/the Connected Person held such interest in the past 5 years.

YES / NO

If so, please provide details.

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**15** Is there any other information material to your directorship of this company, the omission of which might effect the import of the information contained on this form?

YES / NO

If so, give full particulars.

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**Note**

- 1 Please answer all questions and if a question is answerable in the negative, please answer "No". Do not leave any section blank.
- 2 If insufficient space is provided for completion of any paragraph, additional information may be entered on a separate sheet of paper duly signed and attached.
- 3 In this declaration, the term "company" means a body corporate wherever incorporated or otherwise established, "director" has the meaning set out as in section 250 of the Companies Act 2006, and "shadow director" has the meaning set out as in section 251 of the Companies Act 2006

**Declaration**

I declare that the information supplied in this document and all enclosures are complete, correct and not misleading. I further declare that I am unaware of any information or circumstances that would materially alter the accuracy or validity of the responses given in this declaration. I undertake to advise Sharemark and the company's approved Sponsor should anything occur which would render the information contained in this document incomplete, inaccurate or misleading.

<b>Signed:</b>	<b>Date:</b> /    /
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**Sharemark** is administered by The Share Centre Limited

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